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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0631 Please type a plus sign (+) inside this box --> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Quiter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control neg 09/724,961 Application Number **TRANSMITTAL** November 28, 2000 **Filing Date FORM** Schenk, Dale B. **First Named Inventor** 1771 (to be used for all correspondence after initial filing) Group Art Unit Unassigned **Examiner Name** 15270J-004752US Attorney Docket Number Total Number of Pages in This Submission 23 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Appeals and Interferences Drawing(s) Fee Attached Appeal Communication to Group Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Amendment / Response Petition Routing Slip (PTO/SB/69) Proprietary Information and Accompanying Petition After Final Petition to Convert to a Status Letter Provisional Application Affidavits/declaration(s) 2 Powers of Attorney (PTO/SB/81) Other Enclosure(s) (to Cust. No. 20350) & (to Ashton, (please identify below): Extension of Time Request Duvall, Murphy). Statement Under 37 CFR 3.73(b) Terminal Disclaimer (PTO/SB/96) (1 page); Express Abandonment Request Request for Refund Initial Application Data Sheet (3 pp.); Supplemental Information 3. Return Postcard. Disclosure Statement Under 37 CD, Number of CD(s) CFR §1.97 & §1.98 (4 pp.) The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. w/PTO/SB0/8A & 08B (11 pp.) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Reg No. 42,397 Rosemarie L. Celli Individual name Signature September 20, 2001 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any ments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Officer, U.S. Patent and Trademark Comments on the Information Offi

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Attorney Docket No.: 15270J-004 Client Reference No.: 209-US-CIP

Assistant Commissioner for Patents Washington, D.C. 20231

On: September 20, 2001

TOWNSEND and TOWNSEND and CREW LLP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dale B. Schenk

Application No.: 09/724,961

Filed: November 28, 2001

For: PREVENTION AND TREATMENT

OF AMYLOIDOGENIC DISEASE

Examiner:

Unassigned

Art Unit:

1771

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

C TON The references cited on attached PTOSB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/201,430, filed November 30, 1998 (Attorney Docket No. 15270J-004720US).

The publication identified as Cite No. 144 is a grant application believed to have been submitted by Victor Raso for NIH Grant No. 1 R43 AGI 5746-01 on August 29, 1997. Applicants obtained the grant proposal under the Freedom of Information Act (FOIA). It is believed that the grant proposal would not have been accessible under FOIA before April 2, 1998, but the exact date of public accessibility is not known to applicants.

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Applicants also cite commonly owned copending applications directed to related subject matter:

09/204,838 filed 12/03/98; 09/201,430 filed 11/30/98; 09/497,553 filed 02/03/00; 09/724,477 filed 11/28/00; 09/723,927 filed 11/28/00; 09/724,762 filed 11/28/00; 09/724,102 filed 11/28/00; 09/724,489 filed 11/28/00; 09/322,289 filed 05/28/99; 09/723,713 filed 11/27/00; 09/723,760 filed 11/27/00; 09/724,319 filed 11/27/00; 09/723,384 filed 11/27/00; 09/724,495 filed 11/27/00; 09/580,015 filed 05/26/00; 09/724,940 filed 11/28/00; 09/580,018 filed 05/26/00; 09/724,552 filed 11/28/00; 09/723,544 filed 11/28/00; 09/724,273 filed 11/28/00; 09/724,551 filed 11/28/00; 09/724,288 filed 11/28/00; 09/580,019 filed 05/26/00; 09/723,765 filed 11/28/00; 09/724,291 filed 11/28/00; 09/724,921 filed 11/28/00; 09/724,929 filed 11/28/00; 09/585,817 filed 06/01/00; Dale B. Schenk Application No.: 09/724,961

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09/724,567 filed 11/28/00; 09/724,575 filed 11/28/00; 09/724,953 filed 11/28/00; 09/724,569 filed 11/28/00; 09/585,656 filed 06/01/00; 09/723,766 filed 11/27/00; 09/723,725 filed 11/27/00; and, 09/579,690 filed 05/26/00.

Applicants further cite the following commonly owned abandoned applications directed to related subject matter:

> 60/067,740 filed 12/02/97; 60/080,970 filed 04/07/98; 60/067,219 filed 12/03/97; 60/079,697 filed 03/27/98; 60/137,010 filed 06/01/99; 60/137,047 filed 06/01/99; and, 60/136,655 filed 05/28/99.

Applicants point out that the following applications are now commonly assigned but were previously subject to different assignment than the present application:

> 60/067,219 filed 12/03/97; 60/079,697 filed 03/27/98; 09/204,838 filed 12/03/98; 09/724,921 filed 11/28/00; and 09/724,929 filed 11/28/00.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant



information, and no inference should be made that the information and references cited are, are considered to be material to patentability because they are in this statement. No inferences should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Reg. No. 42,397

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

RLC:rnh

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